



PTO/SB/17 (09-00)

Approved for use through 10/31/2002. OMB 0651-0032  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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# FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

(\$ 650)

**Complete if Known**

Application Number	09/344,423
Filing Date	06/25/99
First Named Inventor	Hong Li
Examiner Name	Doerrier, W.
Group Art Unit	3744
Attorney Docket No.	CRYO/US-24

**METHOD OF PAYMENT**

1. ☒ The Commissioner is hereby authorized to charge  
Indicated fees and credit any overpayments to:

Deposit Account Number 19-3795  
Deposit Account Name Gerald W. Spinks

☒ Charge Any Additional Fee Required  
Under 37 CFR 1.16 and 1.17

☒ Applicant claims small entity status.  
See 37 CFR 1.27

2. ☒ Payment Enclosed:

☒ Check ☐ Credit card ☐ Money Order ☐ Other

**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
101 710	201 355	Utility filing fee	
106 320	206 160	Design filing fee	
107 490	207 245	Plant filing fee	
108 710	208 355	Reissue filing fee	
114 150	214 75	Provisional filing fee	

SUBTOTAL (1) (\$)

**2. EXTRA CLAIM FEES**

Total Claims	Extra Claims	Fee from below	Fee Paid
Independent Claims	-20** =	X	
Multiple Dependent	-3** =	X	

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
103 18	203 9	Claims in excess of 20
102 80	202 40	Independent claims in excess of 3
104 270	204 135	Multiple dependent claim, if not paid
109 80	209 40	** Reissue independent claims over original patent
110 18	210 9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$)

\*\*or number previously paid, if greater; For Reissues, see above

**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105 130	205 65	Surcharge - late filing fee or oath	
127 50	227 25	Surcharge - late provisional filing fee or cover sheet	
139 130	139 130	Non-English specification	
147 2,520	147 2,520	For filing a request for ex parte reexamination	
112 920*	112 920*	Requesting publication of SIR prior to Examiner action	
113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action	
115 110	215 55	Extension for reply within first month	
116 390	216 195	Extension for reply within second month	
117 890	217 445	Extension for reply within third month	
118 1,390	218 695	Extension for reply within fourth month	
128 1,890	228 945	Extension for reply within fifth month	
119 310	219 155	Notice of Appeal	
120 310	220 155	Filing a brief in support of an appeal	
121 270	221 135	Request for oral hearing	
138 1,510	138 1,510	Petition to institute a public use proceeding	
140 110	240 55	Petition to revive - unavoidable	
141 1,240	241 620	Petition to revive - unintentional	
142 1,240	242 620	Utility issue fee (or reissue)	620
143 440	243 220	Design issue fee	
144 600	244 300	Plant issue fee	
122 130	122 130	Petitions to the Commissioner	
123 50	123 50	Petitions related to provisional applications	
126 240	126 240	Submission of Information Disclosure Stmt	
581 40	581 40	Recording each patent assignment per property (times number of properties)	
146 710	246 355	Filing a submission after final rejection (37 CFR § 1.129(a))	
149 710	249 355	For each additional invention to be examined (37 CFR § 1.129(b))	
179 710	279 355	Request for Continued Examination (RCE)	
169 900	169 900	Request for expedited examination of a design application	
Other fee (specify) Additional copies of patent			30

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 650)

**SUBMITTED BY**

Name (Print/Type)	Gerald W. Spinks	Registration No. (Attorney/Agent)	32,843	Telephone	(360)874-9254
Signature		Date	February 22, 2001		

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

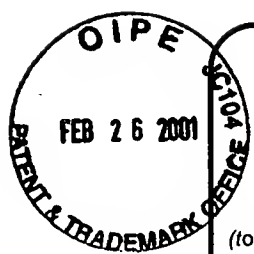
OK to Enter B/A JL

Please type a plus sign (+) inside this box → ☐

PTO/SB/21 (6-98)  
Approved for use through 09/30/2000. OMB 0651-0031  
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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<b>TRANSMITTAL FORM</b> (to be used for all correspondence after initial filing)	<b>Application Number</b>	09/344,423	
	<b>Filing Date</b>	06/25/99	
	<b>First Named Inventor</b>	Hong Li	
	<b>Group Art Unit</b>	3744	
	<b>Examiner Name</b>	Doerrler, W.	
<b>Total Number of Pages in This Submission</b>	9	<b>Attorney Docket Number</b>	CRYO/US-24

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input checked="" type="checkbox"/> Fee Attached	<input checked="" type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Response	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	Issue Fee Transmittal, Declaration, check #6174, return postcard
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Small Entity Statement	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> Request for Refund	
<input type="checkbox"/> Response to Missing Parts/Incomplete Application	<b>Remarks</b>	
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	A new Declaration is included herewith to rectify an incorrect designation of citizenship in the originally filed Declaration.	

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Gerald W. Spinks
Signature	
Date	February 22, 2001

CERTIFICATE OF MAILING			
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: 02/22/2001			
Typed or printed name	Gerald W. Spinks		
Signature		Date	2/22/01

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11/00

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:	Hong Li	)
Serial No.:	09/344,423	)
Filed:	06/25/99	) Art Unit
For:	Precooled Cryogenic Ablation System	) 3744
Examiner:	Doerrler, W.	)
Issue Batch No.:	V47	)
Date of Notice of Allowance:	11/22/2000	)
Attorney Docket:	CRYO/US-24	)



TRANSMITTAL OF FORMAL DRAWINGS

Official Draftsman  
U. S. Patent and Trademark Office  
Washington, D. C. 20231

Sir:

Enclosed is one (1) sheet of formal drawings in the subject application.

Please address all future correspondence in connection with the above-identified patent application to the attention of the undersigned.

DATED this 22<sup>nd</sup> day of February, 2001.

Respectfully submitted,

A handwritten signature in black ink, appearing to read "Gerald W. Spinks".

Gerald W. Spinks  
Registration No. 32,843  
Customer No. 22,875  
P.O. Box 2330  
Port Orchard, WA 98366  
(360)874-9254

CERTIFICATE OF MAILING UNDER 37 CFR §1.8

I hereby certify that this Transmittal of Formal Drawings is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Box Issue Fee, Commissioner of Patents, Washington, D.C. 20231, on this 22<sup>nd</sup> day of February, 2001.



Gerald W. Spinks  
Attorney for Applicant  
Registration No. 32,843

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